



Docket No. CRD1061CIP2

Applicant : Robert Burgermeister

Serial No. : 10/691,824

Art Unit: Unknown

Filed : October 23, 2003

Examiner: Unknown

For : Steerable Balloon Catheter

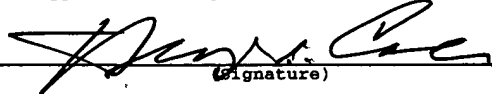
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January 23, 2004

(Date of Deposit)

Henry W. Collins

(Name of applicant, assignee, or Registered Representative)


(Signature)

January 23, 2004

(Date of Signature)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

☒ In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

☐ In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

- ☐ Statement in Accordance with §1.97(e) (attached);
or
- ☐ Please charge Deposit Account No. 10-
0750/ / the fee of \$180.00 as set forth in
§1.17(p).

☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- ☐ Statement in Accordance with §1.97(e) (attached);
or

☐ Please charge Deposit Account No. 10-0750/ / the fee of \$180.00 as set forth in §1.17(p).

☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

☒ Copies of only foreign patent documents and non-patent literature are enclosed in accordance with 37 CFR 1.98 (a)(2). (The U.S. patents and each U.S. patent application publication listed on the attached Form PTO-1449 are not enclosed because this U.S. patent application was filed after June 30, 2003 or this international application has entered the national stage under 35

USC §371 after June 30, 2003 (see USPTO waiver of requirement under 37 CFR 1.98 (a)(2)(i)).

☒ There are no listed references which are not in the English language.

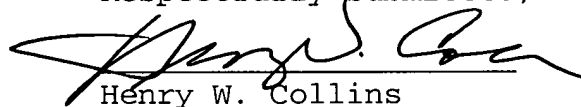
☐ The relevance of those listed references which are not in the English language is as follows:

☐ Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

☒ Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD1061CIP2/HWC. This form is submitted in triplicate.

Respectfully submitted,

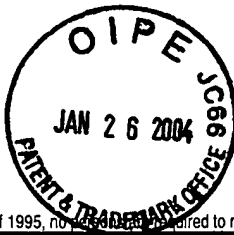


Henry W. Collins

Reg. No. 25,039

Attorney for Applicant

Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003
(786) 313-2707
DATED: January 23, 2004



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 4

Application Number

10/691,824

Filing Date

October 23, 2003

First Named Inventor

Robert Burgermeister

Group Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

CRD1061CIP2

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number known)	Kind Code ² (if			
		4,665,906		James E. Jervis		
		4,719,924		James F. Crittenden, et al.		
		4,770,188		T. Chikama		
		4,813,434		M. Buchbinder, et al.		
		4,815,478		M. Buchbinder, et al.		
		4,827,941		Charles S. Taylor, et al.		
		4,854,325		R.C. Stevens		
		4,875,489		Kirsten L. Messner, et al.		
		4,881,981		Paul E. Thoma, et al.		
		4,886,067		Thomas J. Palermo		
		4,921,482		J.G. Hammerslag, et al.		
		4,923,462		R.C. Stevens		
		4,925,445		Hidetoshi Sakamoto, et al.		

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵				
		EP	0 377 269	B1	C.R. Bard, Inc.			

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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**INFORMATION DISCLOSURE
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(use as many sheets as necessary)

Sheet 2 of 4

Application Number

10/691,824

Filing Date

October 23, 2003

First Named Inventor

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Group Art Unit

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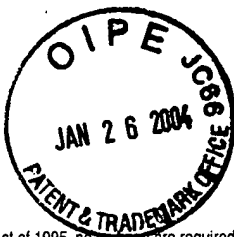
Examiner Initials	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number known)	Kind Code ² (if			
		4,935,068		Thomas W. Duerig		
		4,936,845		R.C. Stevens		
		4,940,062		H.J. Hampton, et al.		
		4,953,553		William S. Tremulis		
		4,966,163		Jeffrey L. Kraus, et al.		
		4,984,581		James D. Stice		
		4,998,916		J.G. Hammerslag, et al.		
		5,037,391		J.G. Hammerslag, et al.		
		5,060,660		Richard A. Gambale, et al.		
		5,069,226		Kiyoshi Yamauchi, et al.		
		5,078,722		R.C. Stevens		
		5,116,350		R.C. Stevens		
		5,120,308		Robert Hess		

FOREIGN PATENT DOCUMENTS

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 3 of 4	Application Number	10/691,824
	Filing Date	October 23, 2003
	First Named Inventor	Robert Burgermeister
	Group Art Unit	Unknown
	Examiner Name	Unknown
	Attorney Docket Number	CRD1061CIP2

U.S. PATENT DOCUMENTS

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		Number known)	Kind Code ² (if			
		5,125,395		E.L. Adair		
		5,133,364		T.J. Palermo, et al.		
		5,143,085		Bruce C. Wilson		
		5,159,937		William S. Tremulis		
		5,188,621		Gene Samson		
		5,203,772		G.R. Hammerslag, et al.		
		5,341,818		Robert M. Abrams, et al.		
		5,368,049		L. Venkata Raman, et al.		
		5,372,587		J.G. Hammerslag, et al.		
		5,480,382		J.G. Hammerslag, et al.		
		5,501,694		Thomas V. Ressemann, et al.		
		5,695,111		Leonard Nanis, et al.		
		5,807,279		F.M. Viera		
		5,813,997		Mir A. Imran, et al.		

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) Sheet 4 of 4	Application Number	10/691,824
	Filing Date	October 23, 2003
	First Named Inventor	Robert Burgermeister
	Group Art Unit	Unknown
	Examiner Name	Unknown
	Attorney Docket Number	CRD1061CIP2

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		Number known)	Kind Code ² (if			
		5,891,055		H. Sauter		
		5,931,830		S.C. Jacobsen, et al.		
		6,027,460		L. Shturman		
		6,059,739		J.C. Baumann		
		6,126,649		R.A. VanTassel, et al.		
		6,146,338		K.C. Gardeski, et al.		
		6,193,706	B1	Michael S. Thorud, et al.		
		6,273,876	B1	D.J. Klima, et al.		
		6,352,515	B1	David M. Anderson, et al.		
		6,355,016	B1	Celso J. Bagaoisan, et al.		
		6,375,628	B1	Gholam-Reza Zadno-Azizi, et al.		
		6,379,369	B1	Robert M. Abrams, et al.		
		6,468,230	B2	Ketan P. Muni, et al.		
		6,488,637	B1	Joseph Eder, et al.		
		2002/0019599	A1	Maura Rooney, et al.		
		2002/0049392	A1	Richard M. DeMello		
		2002/0151966	A1	Joseph C. Eder, et al.		

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SUBMISSION UNDER MPEP 609 D Page 1 of 1	<i>Application Number</i>	10/691,824
	<i>Filing Date</i>	October 23, 2003
	<i>First Named Inventor</i>	Robert Burgermeister
	<i>Group Art Unit</i>	Unknown
	<i>Examiner Name</i>	Unknown
	<i>Attorney Docket Number</i>	CRD1061CIP2

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			Number	Kind Code ² (if known)	
		Hikmat Hojeibane, et al.	10/224,168		

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			Office ³	Number ⁴	KindCode ⁵		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner 's Initials*	Cite No. ¹	Include name of the author (in CAPITOL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²

Examiner Signature	Date Considered
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